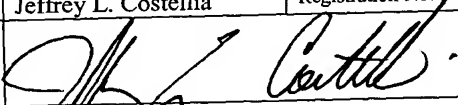


|   |  |   |                |
|---|--|---|----------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>   |  | Attorney Docket No. <b>740709-503</b>   |                |
|   |  | First Inventor <b>Koji Abe et al.</b>   |                |
|   |  | Title <b>NON-AQUEOUS ELECTROLYTIC<br/>SOLUTION AND LITHIUM BATTERY</b>  |                |
|   |  | Express Mail Label No. _____  |                |
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   |  | Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |                |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i><br>2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.<br>3. <input checked="" type="checkbox"/> Specification [Total Pages <b>33</b> ]<br><i>(preferred arrangement set forth below)</i><br>- Descriptive title of the invention<br>- Cross Reference to Related Applications <i>(if applicable)</i><br>- Statement Regarding Fed sponsored R & D <i>(if applicable)</i><br>- Reference to sequence listing, a table,<br>or a computer program listing appendix <i>(if applicable)</i><br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings <i>(if filed)</i><br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure<br>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="checkbox"/> ]<br>5. Oath or Declaration [Total Pages <b>05</b> ]<br>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Unsigned<br>c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s)<br>named in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b)<br>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 |  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)<br>8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i><br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies<br><b>ACCOMPANYING APPLICATION PARTS</b><br>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of<br><i>(when there is an assignee)</i> Attorney<br>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i><br>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i><br>15. <input checked="" type="checkbox"/> Certified Copy of Japanese Priority Document Nos.<br>2002-205560 and 2002-326391<br><i>(if foreign priority is claimed)</i><br>16. <input type="checkbox"/> Nonpublication request under 35 U.S.C.<br>122(b)(2)(B)(i). Applicant must attach form<br>PTO/SB/35 or its equivalent.<br>17. <input type="checkbox"/> Other: _____ |                |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,<br>or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ / _____<br>Prior application information: Examiner _____ Group / Art Unit: _____<br>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under<br>Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The<br>incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.   |  |   |                |
| <b>19. CORRESPONDENCE ADDRESS</b>   |  |   |                |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label   |  | or <input type="checkbox"/> Correspondence address below  |                |
| 22204   |  |   |                |
| Name _____  |  |   |                |
| Address _____   |  |   |                |
| City _____  |  | State _____   | Zip Code _____ |
| Country _____   |  | Telephone _____   | Fax _____      |
| Name (Print/Type) <b>Jeffrey L. Costellia</b>   |  | Registration No. (Attorney/Agent) <b>35,483</b>   |                |
| Signature    |  | Date <b>July 15, 2003</b>   |                |

 15535 U.S. PTO  
 10/619005


07/15/03



# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **\$844.00**

*Complete if Known*

|                      |                  |
|----------------------|------------------|
| Application Number   | New Application  |
| Filing Date          | July 15, 2003    |
| First Named Inventor | Koji Abe et al.  |
| Examiner Name        | Not yet assigned |
| Art Unit             | Not yet assigned |
| Attorney Docket No.  | 740709-503       |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number **19-2380 (740709-503)**

Deposit Account Name **Nixon Peabody LLP**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 1001                  | 750                   | 2001                  | 375                   | Utility filing fee     | 750.00   |
| 1002                  | 330                   | 2002                  | 165                   | Design filing fee      |          |
| 1003                  | 520                   | 2003                  | 260                   | Plant filing fee       |          |
| 1004                  | 750                   | 2004                  | 375                   | Reissue filing fee     |          |
| 1005                  | 160                   | 2005                  | 80                    | Provisional filing fee |          |

**SUBTOTAL (1)** **\$750.00**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 23                 | -20** = 3    | 18.00          | 54.00    |
| Independent Claims | 2            | -3** =         | 0        |
| Multiple Dependent | X            |                | 0        |

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1202                  | 18                    | 2202                  | 9                     | Claims in excess of 20                                     |
| 1201                  | 84                    | 2201                  | 42                    | Independent claims in excess of 3                          |
| 1203                  | 280                   | 2203                  | 140                   | Multiple dependent claim, if not paid                      |
| 1204                  | 84                    | 2204                  | 42                    | ** Reissue independent claims over original patent         |
| 1205                  | 18                    | 2205                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** **\$54.00**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1051                  | 130                   | 2051                  | 65                    | Surcharge - late filing fee or oath  |
| 1052                  | 50                    | 2052                  | 25                    | Surcharge - late provisional filing fee or cover sheet                     |
| 1053                  | 130                   | 1053                  | 130                   | Non-English specification  |
| 1812                  | 2,520                 | 1812                  | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |
| 1804                  | 920*                  | 1804                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |
| 1805                  | 1,840*                | 1805                  | 1,840*                | Requesting publication of SIR after Examiner action                        |
| 1251                  | 110                   | 2251                  | 55                    | Extension for reply within first month                                     |
| 1252                  | 410                   | 2252                  | 205                   | Extension for reply within second month                                    |
| 1253                  | 930                   | 2253                  | 465                   | Extension for reply within third month                                     |
| 1254                  | 1,450                 | 2254                  | 725                   | Extension for reply within fourth month                                    |
| 1255                  | 1,970                 | 2255                  | 985                   | Extension for reply within fifth month                                     |
| 1401                  | 320                   | 2401                  | 160                   | Notice of Appeal   |
| 1402                  | 320                   | 2402                  | 160                   | Filing a brief in support of an appeal                                     |
| 1403                  | 280                   | 2403                  | 140                   | Request for oral hearing   |
| 1451                  | 1,510                 | 1451                  | 1,510                 | Petition to institute a public use proceeding                              |
| 1452                  | 110                   | 2452                  | 55                    | Petition to revive - unavoidable   |
| 1453                  | 1,300                 | 2453                  | 650                   | Petition to revive - unintentional   |
| 1501                  | 1,300                 | 2501                  | 650                   | Utility issue fee (or reissue)   |
| 1502                  | 470                   | 2502                  | 235                   | Design issue fee   |
| 1503                  | 630                   | 2503                  | 315                   | Plant issue fee  |
| 1460                  | 130                   | 1460                  | 130                   | Petitions to the Commissioner  |
| 1807                  | 50                    | 1807                  | 50                    | Processing fee under 37 CFR 1.17(q)  |
| 1806                  | 180                   | 1806                  | 180                   | Submission of Information Disclosure Stmt                                  |
| 8021                  | 40                    | 8021                  | 40                    | Recording each patent assignment per property (times number of properties) |
| 1809                  | 750                   | 2809                  | 375                   | Filing a submission after final rejection (37 CFR 1.129(a))                |
| 1810                  | 750                   | 2810                  | 375                   | For each additional invention to be examined (37 CFR 1.129(b))             |
| 1801                  | 750                   | 2801                  | 375                   | Request for Continued Examination (RCE)                                    |
| 1802                  | 900                   | 1802                  | 900                   | Request for expedited examination of a design application                  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **\$40.00**

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop \_\_\_\_\_, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) \_\_\_\_\_

Date \_\_\_\_\_

Signature \_\_\_\_\_

Typed or printed name \_\_\_\_\_

## SUBMITTED BY

|                   |                      |                  |        |           |                |
|-------------------|----------------------|------------------|--------|-----------|----------------|
| Name (Print/Type) | Jeffrey L. Costellia | Registration No. | 35,483 | Telephone | (703) 770-9300 |
| Signature         |                      | (Attorney/Agent) |        | Date      | July 15, 2003  |

SEND TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450